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PTO/SB/16 (10-01)  
Approved for use through 10/31/2002. OMB 0691-0032  
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# PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing

APPLICATION FOR PATENT under 37 CFR 1.53(c).

Express Mail Label No. EU 320441067 US

## INVENTOR(S)

Given Name (first and middle (if any))	Family Name or Surname	Residence (City and either State or Foreign Country)
Tavis Dion	Schriefer	Carrollton, TX

☐ Additional inventors are being named on the \_\_\_\_\_ separately numbered sheets attached hereto

## TITLE OF THE INVENTION (500 characters max)

Electrical or Optical Connector Adaptor with Rotational Mechanisms.

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## ENCLOSED APPLICATION PARTS (check all that apply)

☒ Specification Number of Pages

8

☐ CD(s), Number

☒ Drawing(s) Number of Sheets

8

☐ Other (specify)

☐ Application Data Sheet, See 37 CFR 1.76

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☒ Applicant claims small entity status. See 37 CFR 1.27.

☒ A check or money order is enclosed to cover the filing fees

☐ The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number:

☐ Payment by credit card. Form PTO-2038 is attached.

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\$80

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

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☐ Yes, the name of the U.S. Government agency and the Government contract number are:

Respectfully submitted,

SIGNATURE

TYPED or PRINTED NAME

Tavis B. Schriefer

TELEPHONE

972-395-9600

Date

6 Jan 2003

REGISTRATION NO.

(if appropriate)

Docket Number:

## USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C. 20231.



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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	
Filing Date	6 JAN 2003
First Named Inventor	Tavis D. Schriefer
Examiner Name	
Group Art Unit	
Attorney Docket No.	

TOTAL AMOUNT OF PAYMENT (\$)

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number
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- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ **Payment Enclosed:**
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**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	80

SUBTOTAL (1) (\$) \$80

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) 

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

**SUBMITTED BY**

Name (Print/Type) Tavis D. Schriefer

Signature

Registration No.  
(Attorney/Agent)**Complete (if applicable)**

Telephone 972-395-9600

Date 6 Jan 2003

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60/416,569, dated 7 October 2002

**Provisional Patent Application of**

**Tavis D. Schriefer**

**for**

**Electrical or Optical Connector Adapter with Rotational Mechanisms**

**ABSTRACT**

FIG. 1 relates to an invention of an adjustable electrical or optical connector adapter that is typically used to interface a host device with a peripheral device or cable. The adjustable connector rotates in either of two planes and will allow the peripheral device to assume a wide variety of orientations with respect to the host device.

This PPA is inclusive of material submitted in PPA # 60/416,569, dated 7 October, 2002.

**CLAIMS**

An adjustable connector comprised of:

1. Electrical or optical connectors with the following characteristics:

a first electrical or optical connector that interfaces with a host device;

a second electrical or optical connector that interfaces with a peripheral device, power source or cable and maintains electrical or optical continuity with the first connector;

2. Rotational mechanisms of the following types:

a housing or housings that includes two rotational mechanisms between the first and second connectors that allow rotation in two planes while maintaining electrical or optical continuity between the first and second connectors, rotation may occur in either plane alone or both simultaneously;

a device in both planes for limiting the degree of rotation of the rotational mechanisms in each plane;

methods for providing positional stability of both rotating mechanisms.

a first rotational mechanism in the form of a hinge;

a second rotational mechanism in the form of two mating planar surfaces;

first and second rotational mechanisms will have rotational capabilities along with positional stability resistance characteristics such that the torque required to initiate rotation of the joint is greater than the torsional forces created by the weight and orientation of any attached cables or devices in a static situation. These characteristics could be achieved with indexing surface features along with mating radial serrations providing an indexing function, inherent surface friction between mating parts or tension produced by springs or levers.

### 3. Alternate embodiments of rotational mechanisms:

where one of the two rotational mechanisms are manufactured in fixed orientations in order to provide solutions to specific problems of interfacing certain host devices with certain peripheral devices;

where rotational control in all planes is achieved by use of a ball and socket joint;

where rotational control in all planes is achieved by use of a goose-neck or flexible jointed pipe,

where one or both of the previously described rotational mechanisms are built into a connector that is attached to a cable, and does not take the form of a separate connector adapter, the connector itself could assume any of the forms mentioned in these claims,

where one or both of the previously described rotational mechanisms are built into a connector that is part of an electrical device, either host or peripheral, and does not take the form of a separate connector adapter, the connector itself could assume any of the forms mentioned in these claims..

4. Electrical or optical connectors in claim 1 of a variety of types:

male or female forms;

USB, High Speed USB (2.0), FireWire (IEEE 1394 and i.LINK), Video Monitor, RS232, fiber optic or similar specifications that are typically used to define the physical, electrical and /or optical communications characteristics between host and peripheral devices. This includes specifications for electrical connections for the purpose of data and/or power transmission.

5 A housing of the connectors in claim 1 produced from vinyl, rubber, plastic, polypropylene or other materials suitable for an electrical or optical connector housing.

6. A flexible conductor suitable for passing electrical or optical signals, located within the housing defined in claim 5, such that the flexible cable allows rotation to occur in two planes of the rotational mechanisms as defined in claims 2 and 3, while maintaining electrical or optical connectivity between the first and second connector.

7. An embodiment of the adjustable connector that has two or more secondary connectors, so to act as a hub for multiple peripheral devices, all communicating electrically or optically with the host device.

8. An embodiment of the adjustable connector that in addition to the rotational capabilities, the device also includes multiple functionality, such as the inclusion, but not limited to the following: Memory cards for storing computer files, Additional communication ports; Wireless communications capabilities.

## DESCRIPTION

A variety of computer peripheral devices are designed to be directly interfaced to host devices, such as computers. Many times this results in an awkward, insecure, or precarious orientation of the peripheral device in relation to the host device. In some cases the peripheral device cannot be successfully interfaced to the host device due to the physical conflicts between the housing of the host device and the peripheral device. In other cases the physical characteristics of the peripheral device when interfaced with the host device, prevents the interface of other peripheral devices to the host device. The adjustable connector overcomes these conflicts by allowing rotation in two planes. The adjustable connector could assume the form of a connector adapter, or it could be built-into cables or electrical equipment, either host or peripheral.

Peripheral devices that will benefit from the adjustable connector include, but are not limited to: data storage devices, Bluetooth or other communication devices, security devices, lights, fans, cables, antennas, and power adapters.

## OPERATION

FIG 1a depicts the side view of the preferred embodiment that complies with USB and USB 2.0 standards. Connector 10, USB type-A, interfaces with a host device. Rotational mechanism 12 has a  $\pm 90^\circ$  range of motion in a vertical manner in relation to connector 10.

Second rotational mechanism 14 allows rotation of the second connector 16 in either direction on one plane up to  $120^\circ$ .

FIG 1b depicts the top view of the preferred embodiment that complies with USB and USB 2.0 standards. Connector 10, USB type-A, interfaces with a host device. Rotational mechanism 14 has a  $\pm 120^\circ$  range of motion in a horizontal manner in relation to connector 10.

FIG 1c and 1d depict an alternate construction with similar functionality to FIG 1a and 1b. Rotational mechanism 20 has a  $\pm 90^\circ$  range of motion in a vertical manner in relation to

connector 10. Second rotational mechanism 22 allows rotation of the second connector 16 in either direction on one plane with a range of up to 359°.

FIG 1e and 1f depict an alternate construction

FIG 2a depicts a peripheral device interfaced to a host computer without the use of the adjustable connector.

FIG 2b depicts a peripheral device interfaced to a host computer with the aid of the adjustable connector. In this case, mechanism 12 (hidden) is rotated 90° and mechanism 14 is rotated 90°. This illustrates the benefit of preventing the peripheral device from protruding significantly away from the host computer, placing the peripheral device in an awkward and precarious position where it could be easily damaged.

FIG 3a depicts the side view of an additional embodiment of the adjustable connector that has two secondary connectors 16 & 20 acting as a hub for two peripheral devices. This embodiment contains all the same features as the adjustable connector in FIG 1a, with the added functionality of an additional rotational mechanism 18 and the associated secondary connector 20.

FIG 3b depicts the top view of the additional embodiment of the adjustable connector that has two secondary connectors 16 & 20 acting as a hub for two peripheral devices. This embodiment contains all the same features as the adjustable connector in FIG 1b, with the additional rotational mechanism 18 able to operate independently from rotational mechanism 14. This allows for the peripheral devices to interface into input connectors 16 & 20 and be positioned in different and independent fashions.

FIG 3c illustrates the additional embodiment of the adjustable connector with two peripheral USB cables interfacing into input connectors 16 & 20. In this case, mechanism 12 (hidden) is rotated 90° in a vertical manner in relation to connector 10, mechanism 14 is rotated 90° in a horizontal manner in relation to connector 10 and mechanism 18 is rotated 90° in the opposite direction of mechanism 14.

FIG 4 illustrates an alternate embodiment of the rotational mechanism where one of the two rotational mechanisms is manufactured in fixed orientation..

FIG 5a illustrates an alternate embodiment of the rotational mechanism where rotational control in all planes is achieved by use of a goose-neck or flexible jointed pipe.

FIG 5b illustrates an alternate embodiment of the rotational mechanism, where rotational control in all planes is achieved by use of a goose-neck or flexible jointed pipe, in this case with two peripheral connectors.

FIG 6 illustrates an alternate embodiment of the rotational mechanism where rotational control in all planes is achieved by use of a ball and socket joint. The ball and socket mechanism could include dimples covering the surface of the ball, and ridges covering the surface of the socket, in order to achieve positional stability.

FIG 7a illustrates an alternate embodiment, where a rotational mechanism of the first type is built into a connector that is attached to a cable, and does not take the form of a separate connector adapter.

FIG 7b is a device similar to FIG 7a. It illustrates an alternate embodiment, where a rotational mechanism of the first type is built into a connector that is attached to a cable, and does not take the form of a separate connector adapter, but the construction of rotational mechanism is simplified.

FIG 8a, 8b and 8c illustrate an alternate embodiment, where two rotational mechanisms are built into a connector that is attached to a cable, and does not take the form of a separate connector adapter. This allows rotational orientation in two planes. The first rotational mechanism has a rotational capability of  $\pm 90^\circ$ . The second rotational mechanism has a rotational capability of  $359^\circ$ .



FIG 9 illustrates a device similar to FIG 8a. It is an alternate construction that achieves the same result. Two rotational mechanisms are built into a connector that is attached to a cable, and does not take the form of a separate connector adapter. This allows rotational orientation in two planes. The first rotational mechanism has a rotational capability of  $\pm 90^\circ$ . The second rotational mechanism has a rotational capability of  $359^\circ$ .

FIG 10a and 10b illustrate a typical peripheral device that does not contain any mechanisms to provide rotational capabilities.

FIG 11a, 11b and 11c illustrate an alternate embodiment, where the rotational mechanism is built into a connector that is part of an electrical peripheral device, and does not take the form of a separate connector adapter. In this particular configuration, the connector has rotational capabilities in one plane, while remaining contained within the peripheral device.

FIG 12a, 12b and 12c illustrate a device similar to FIG 11a, 11b and 11c. It is an alternate construction that achieves the same result. A rotational mechanism is built into a connector that is part of an electrical peripheral device, and does not take the form of a separate connector adapter. In this particular configuration, the connector has rotational capabilities in one plane, up to  $359^\circ$ , while remaining contained within the peripheral device.

FIG 13 and 14 illustrate alternate embodiments, where the two rotational mechanisms are built into a connector that is part of an electrical peripheral device, and does not take the form of a separate connector adapter. This allows rotational orientation in two planes.

FIG 15 and 16 illustrate alternate embodiments where the rotational mechanism is built into a host device. In FIG 15, the rotational mechanism has one connector, with FIG 16 having two connectors. Both embodiments allow rotational capability in one plane only, up to  $359^\circ$ .

**REFERENCED NUMERALS IN DRAWINGS**

- 10 host device connector
- 12 vertical rotational mechanism
- 14 horizontal rotational mechanism
- 16 peripheral device connector
- 18 additional horizontal rotational mechanism
- 20 additional peripheral device connector
- 22 second rotational mechanism

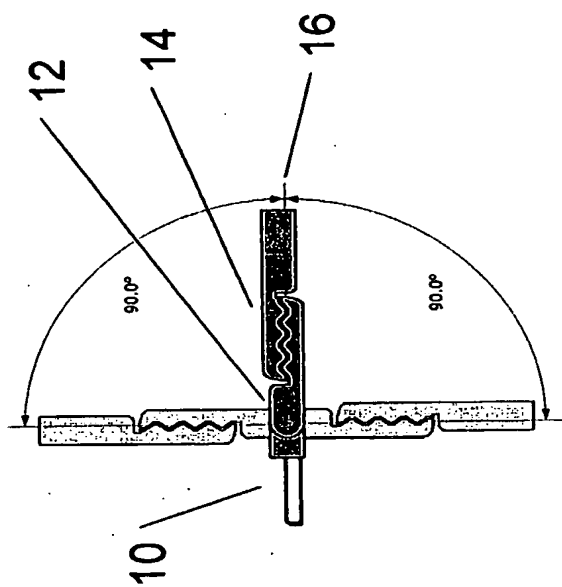


FIGURE 1a

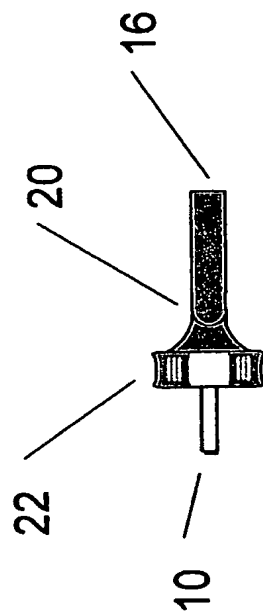


FIGURE 1c

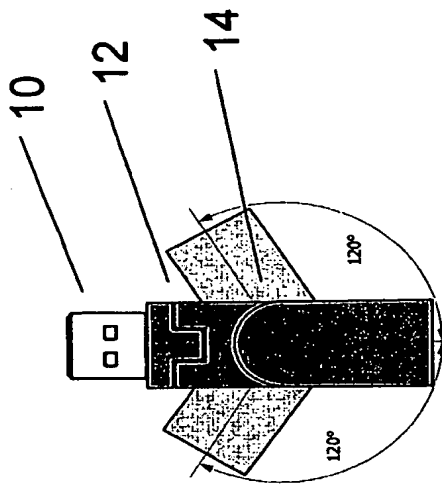


FIGURE 1b

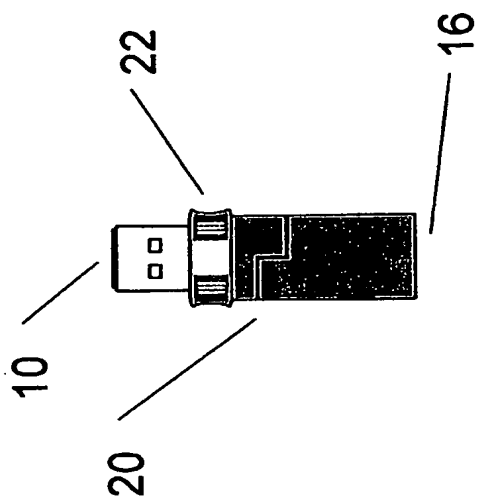


FIGURE 1d

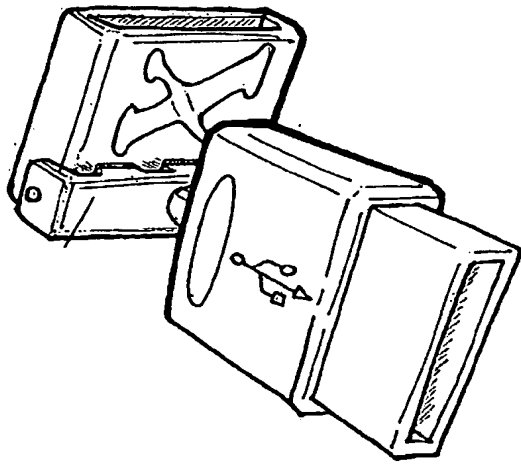


FIGURE 1f

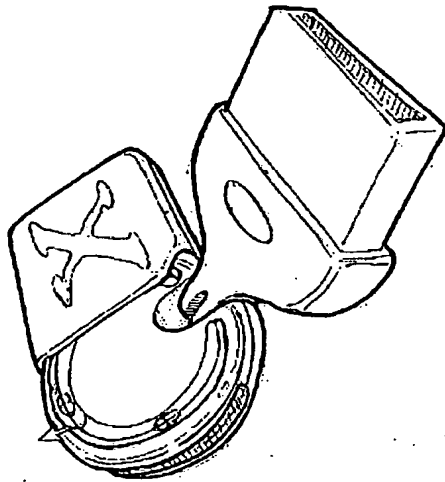


FIGURE 1e

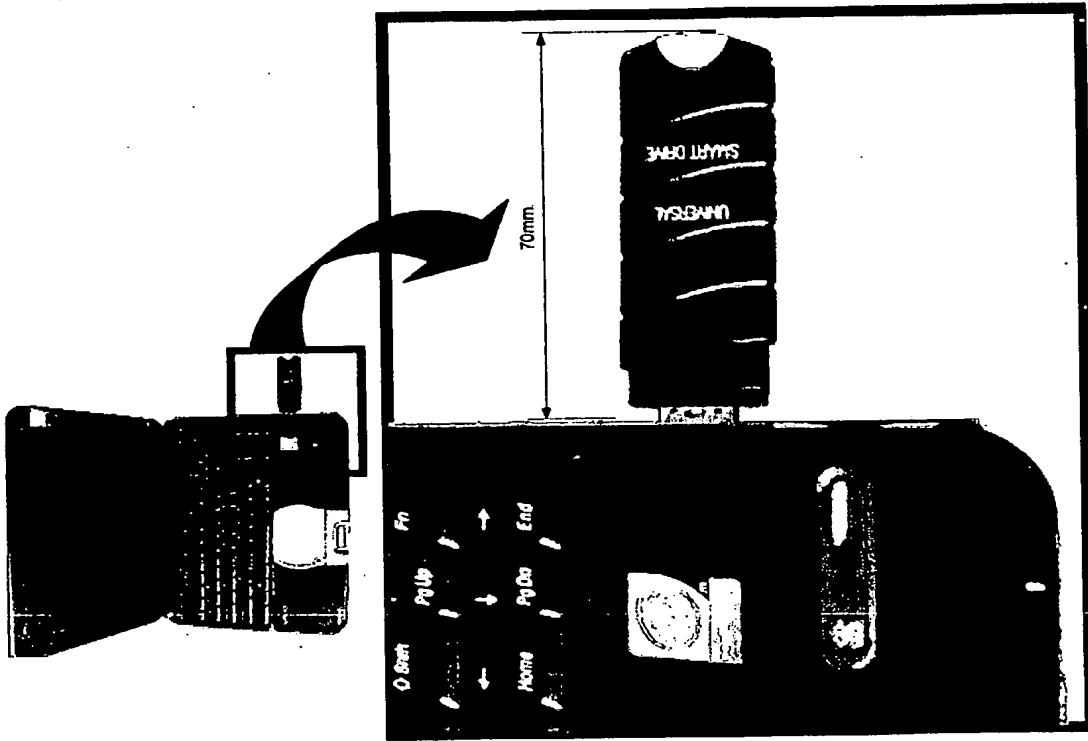


FIGURE 2a

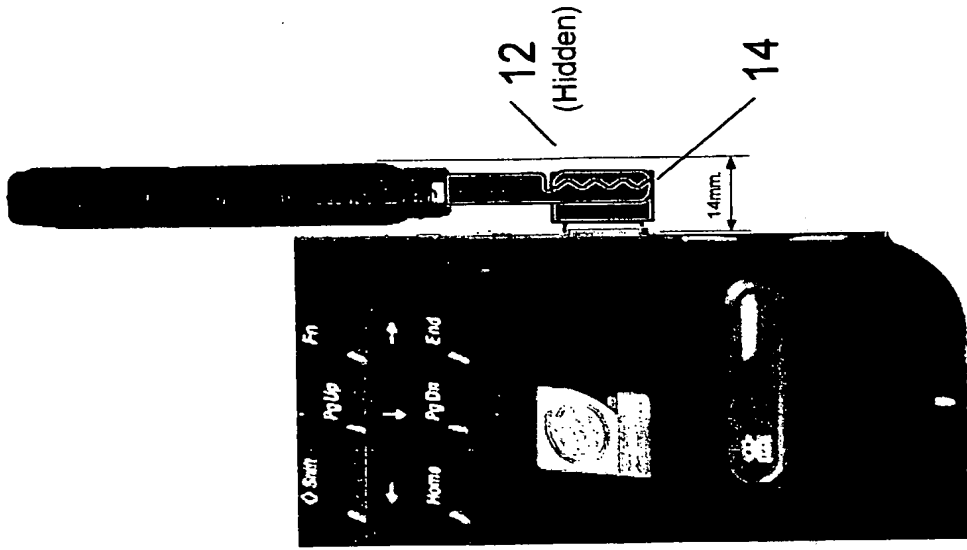


FIGURE 2b

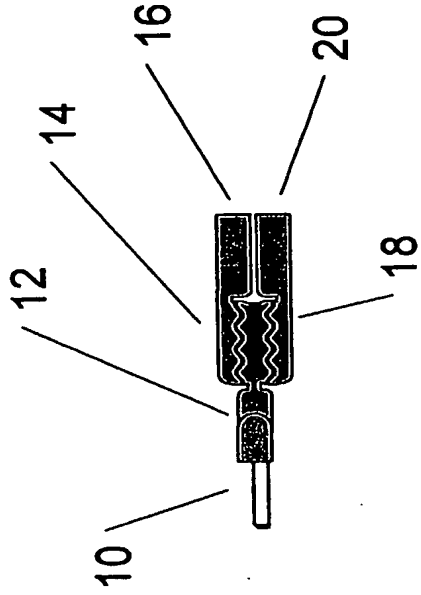


FIGURE 3a

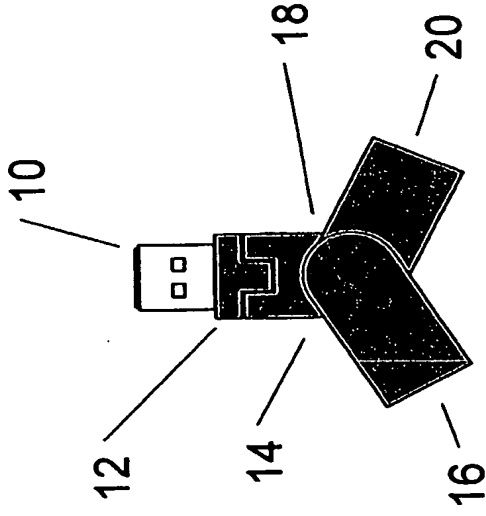


FIGURE 3b

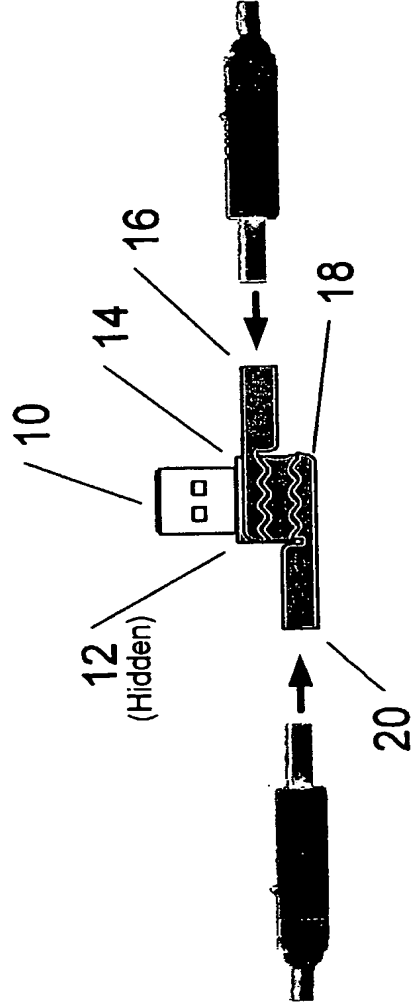


FIGURE 3c

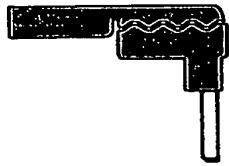


FIGURE 4

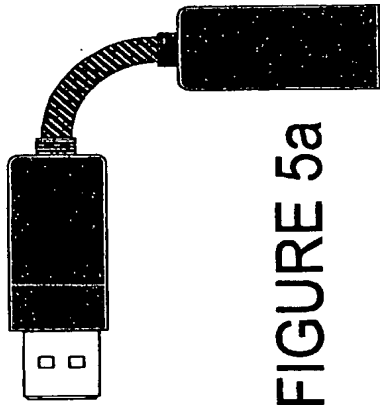


FIGURE 5a

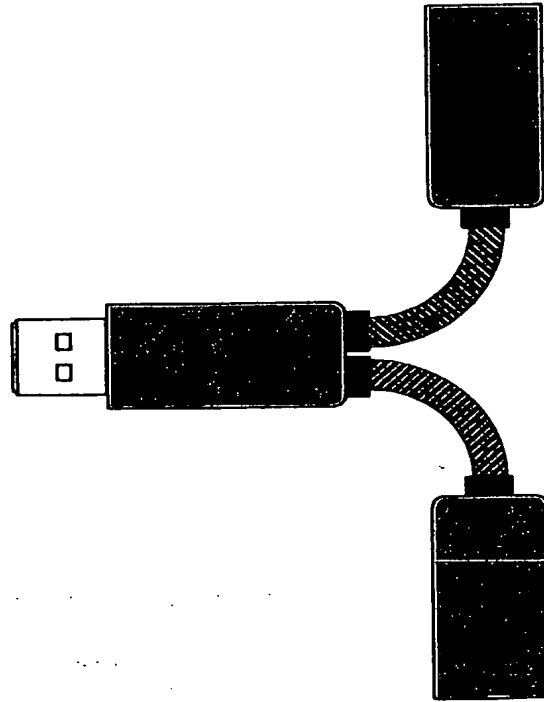


FIGURE 5b

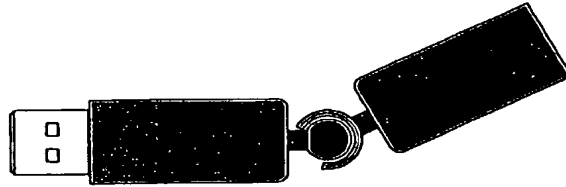


FIGURE 6



FIGURE 7a



FIGURE 7b

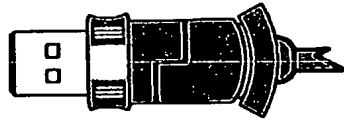


FIGURE 8a

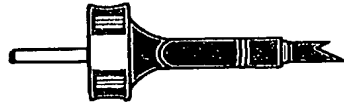


FIGURE 8b

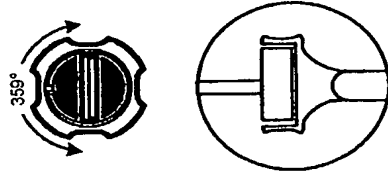


FIGURE 8c



FIGURE 9



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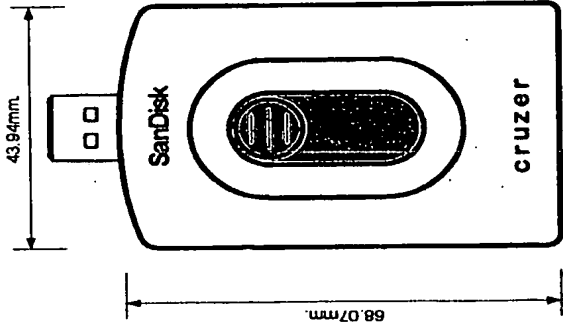


FIGURE 10a

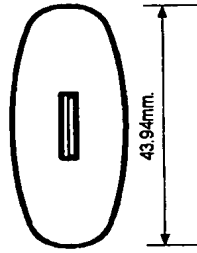


FIGURE 10b

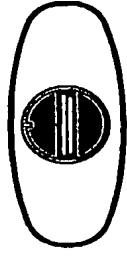
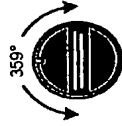


FIGURE 11a



359° Rotational  
USB Component

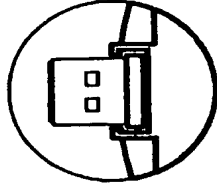


FIGURE 11b      FIGURE 11c



FIGURE 12a

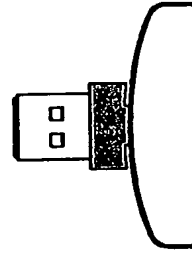


FIGURE 12b

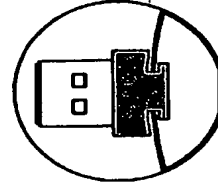


FIGURE 12c

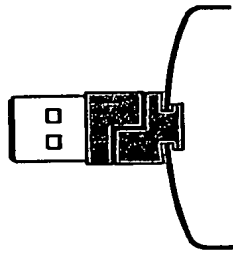


FIGURE 13

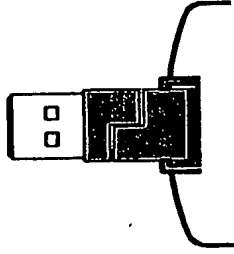


FIGURE 14

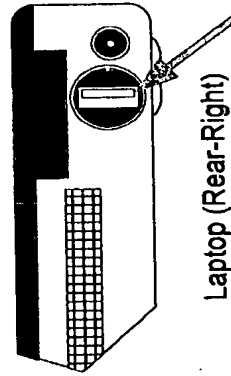


FIGURE 15

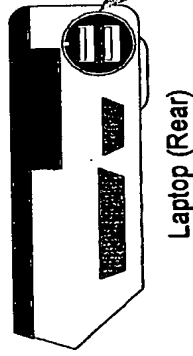
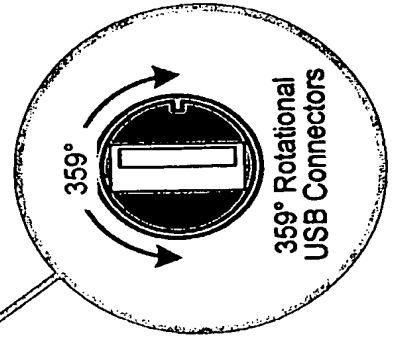


FIGURE 16

